



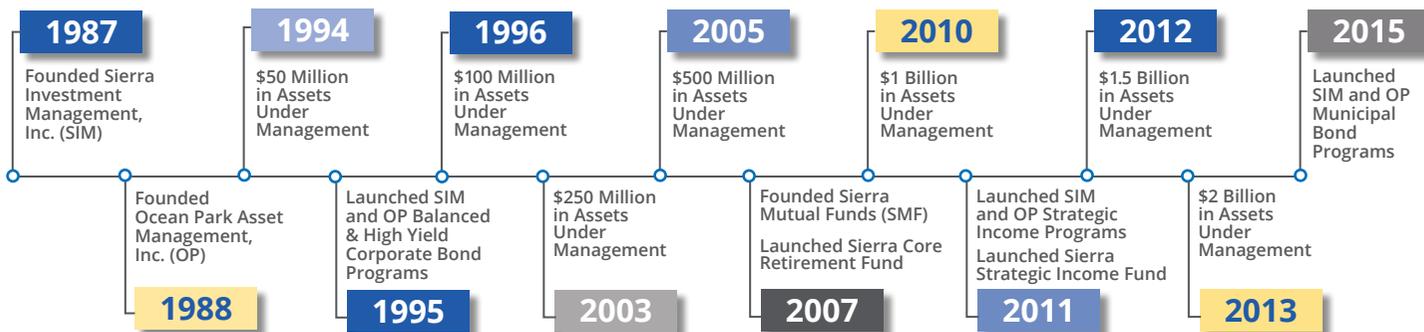
Time-tested Strategies
ENGINEERED FOR CONSERVATIVE INVESTORS

Invest Wisely. Rest Easy.



About OCEAN PARK

SINCE 1987, OCEAN PARK and its affiliates have been dedicated to delivering skillful asset management to our clients. Based in Santa Monica, California, our strategies were engineered to reduce volatility while achieving satisfying returns over a market cycle. This has helped us become a trusted partner to financial advisors and investors nationwide.



SENIOR INVESTMENT TEAM



Kenneth L. Sleeper, MBA, PhD
Co-Founder & Managing Director
 Education: PhD, University of Southern California; MBA, Business Administration, University of Southern California



David C. Wright, JD.
Co-Founder & Managing Director
 Education: JD, University of Chicago; BS, Civil Engineering, Swarthmore College



Terri Spath, MBA, CFA, CFP®
Chief Investment Officer
 Education: MBA, Columbia Business School; A.B., University of Michigan

Ocean Park's senior investment team is supported by two analysts. Collectively, the team shares over 100 years of experience managing the assets of conservative investors.



Our PROCESS

Fundamentals of Portfolio Management

Ocean Park has a long-proven approach to each of these questions, from simplest to the most complex.

1 WHEN TO SELL - *The Simplest*

- We manually review each holding to identify those which have fallen below our trailing stop level.
- When the price declines below the trailing stop, we sell.
- The stops are systematic and are proportionate to the historic volatility of each distinct asset class.
- Trailing stops limit the impact of any sustained decline on the overall portfolio.

2 WHEN TO BUY

- We buy only when we are confident a sufficient uptrend has begun or is in progress.
- Once a given asset class begins an uptrend adequate enough to generate a *Buy Signal* under our proprietary discipline, we analyze all mutual funds in that asset class to determine which to buy.
- *Buy Signals* are systematic – and the discipline is the contrary of our trailing stops for Sell Signals.

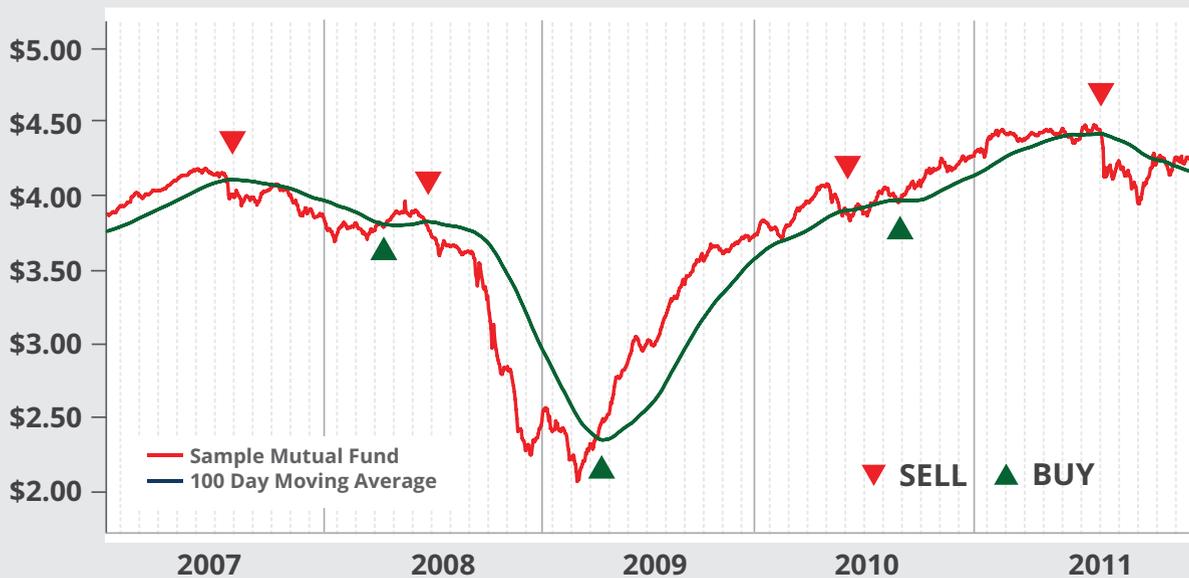
3 WHAT TO BUY - *The Most Complex*

- Often there are multiple asset classes in uptrends.
- Priorities for Asset Allocation:
 - Strongest uptrends
 - Lowest volatility
 - Low correlation to existing holdings
 - We identify alpha managers



BUY AND SELL DISCIPLINE: A SIMPLIFIED EXAMPLE

We sell when the price declines below the moving average, and buy when we are confident a sufficient uptrend has begun or is in progress.



For illustrative purposes only. There is no guarantee that any investment strategy will achieve its objectives, generate profits or avoid losses.



Investment **DISCIPLINE AND PHILOSOPHY**

A PIONEER IN TACTICAL GLOBAL MULTI-ASSET MANAGEMENT

Ocean Park is a pioneer in pursuing absolute return goals, pursuing positive results across a market cycle regardless of traditional benchmark movements. Portfolios are invested without constraint across global markets and are adjusted dynamically as opportunities arise. Investment results are created by identifying potential sources of return, informed by decades of data, to attempt to increase total return while managing risk.

RESILIENCE

Ocean Park employs a rigorous sell discipline that attempts to keep our clients out of trouble. A proprietary stop-loss approach has been used since inception of the firm. The methodology is designed to limit the impact on the overall client experience of any sustained decline in a given holding or in the overall portfolio. As part of this integrated risk-management discipline, each holding is monitored daily to limit drawdowns. Programs are not passive buy and hold approaches, but dynamic and flexible, creating resiliency in up and down markets.

KEYS TO THE PROGRAMS



FOCUS ON WHAT CAN BE CONTROLLED

Successful investment results come out of focusing on what can be controlled. Volatility has, and always will be, an element of investing and our programs focus on attempting to control portfolio risk. Bear markets can destroy wealth and more volatile portfolios generally underperform less volatile ones. Our programs attempt to control volatility and drawdown by employing a proprietary sell discipline which includes daily manual review of all positions to identify those which have fallen below the trailing stop level. This potentially limits the impact of any sustained decline in an individual holding on the overall portfolio.



CUT LOSSES SHORT - LET PROFITS RUN

The investment management style incorporates trend following. As a result, the discipline is not designed to buy at the absolute low or to sell at the absolute high, but to participate in a substantial part of any sustained uptrend in a selected investment. Trend following is the imperative to cut short your losses and let your profits run. Profitable investing comes from sizing up an entire market and its trend.



TACTICAL, DISCIPLINED APPROACH

In a tactical style, the asset allocation of any program can, and does, change dynamically over time as portions of the portfolio are re-allocated. Allocations are driven by the trend changes in the U.S. and global economy as well as in various fixed income and stock markets. Asset classes are selected based on the expectation of their response to different economic forces and managers that can best participate in those trends are chosen. An unusually broad diversification of investment categories, markets, industries and issuers are utilized to achieve the goals of any particular program and attempt to limit downside risk.



EXPERTISE

The investment management team, consisting of analysts and portfolio managers, drives the broad asset class exposure and market segment allocation. These decisions are made based on expectations for future returns, correlations to existing holdings and historical volatility observations. The team meets weekly to evaluate the current outlook and key decisions, and to make tactical adjustments. On a daily basis, buy, hold and sell decisions are reviewed for same-day execution. The proprietary process enables the team to consistently navigate through all market and economic environments.

DISCLOSURES

Past performance does not guarantee future results and there is no guarantee that any investment strategy will achieve its objectives, generate profits, or avoid losses.

*Ocean Park Asset Management, Inc. (Ocean Park) is an SEC registered investment adviser. The performance quoted herein represents past performance. Past performance does not guarantee future results. **Investments employing Ocean Park strategies are NOT insured by the FDIC or by any other Federal Government Agency and MAY result in loss of principal.** For more information, call 800-729-1467 or visit www.oceanparkam.com*

A **trailing stop** is a special type of trade order where the stop-loss price is not set at a single, absolute dollar amount, but instead is set at a certain percentage or a certain dollar amount below the market price.

Alpha measures the difference between a fund's actual returns and its expected performance, given its level of risk (as measured by beta). Alpha is often seen as a measure of value added or subtracted by a portfolio manager.



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